



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/703,504	10/31/2000	Arthur Zavalkovsky	50325-0128

Hickman Palermo Truong & Becker LLP
1600 Willow Street
San Jose, CA 95125-5106

FORMALITIES LETTER



Date Mailed: 02/05/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

04/11/2001 SHINASS1 00000042 09703504

FILED UNDER 37 CFR 1.53(b)

01 FC:101 710.00 DP
02 FC:102 240.00 DP
03 FC:105 130.00 DP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$240.
 - \$240 for 3 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1080.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Attorney Docket No.: 50325-0128

See cfo \$

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Arthur ZAVALKOVSKY, et al.

Group Art Unit: 2151

Application No.: 09/703,504

Examiner: NYA

Filed: October 31, 2000

Title: METHOD AND APPARATUS FOR
COMMUNICATING NETWORK QUALITY
OF SERVICE POLICY INFORMATION TO A
PLURALITY OF POLICY ENFORCEMENT
POINTS

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF NON-PROVISIONAL
APPLICATION**

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

Sir:

This is in response to a Notice to File Missing Parts of Application under 37 CFR 1.53(f).
Enclosed is a copy of said Notice and the following documents and fees to complete the filing
requirements of the above-identified application.

(X) Executed Declaration and Power of Attorney. The above-identified application is
the same application which the inventor executed by signing the enclosed declaration.

(X) Statutory basic filing fee \$710.00 (X) Utility () Design

(X) Additional claim fees of \$240.00

(X) Missing Parts Surcharge = \$130.00

() ___ sheets of substitute drawings in compliance with 37 CFR 1.84.

() Extension of Time Request for reply to Notice of Missing Parts is requested.

() one month = \$110.00

() two months = \$380.00

() three months = \$890.00

() four months = \$1,390.00

Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302. A duplicate of this sheet is enclosed.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit: April 5, 2001

Typed Name: Tirenna Say

Signature: Tirenna Say

Respectfully submitted,

By Christopher J. Palermo

Christopher J. Palermo

Reg. No. 42,056

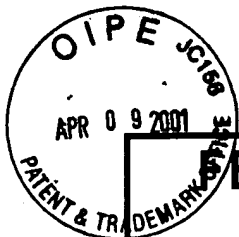
Date: April 5, 2001

Telephone No.: (408) 414-1080

Hickman Palermo Truong & Becker, LLP

1600 Willow Street

San Jose, California 95125-5106



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FREE TRANSMITTAL for FY 2001 <i>Patent fees are subject to annual revision, Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 AND 1.28</i>		Complete if Known	
		Application Number	09/703,504
		Filing Date	October 31, 2000
		First Named Inventor	Arthur ZAVALKOVSKY, et al.
		Examiner Name	NYA
Group/Art Unit	2151		
Attorney Docket No.	50325-0128		
TOTAL AMOUNT OF PAYMENT	(\$)	1080.00	

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)																																																																																																																																																																																	
1. <input checked="" type="checkbox"/> Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302. A duplicate of this sheet is enclosed.		3. ADDITIONAL FEES																																																																																																																																																																																	
Deposit Account Number: 50-1302		<table border="1"><thead><tr><th>Large Entity Fee Code</th><th>Large Entity Fee \$</th><th>Small Entity Fee Code</th><th>Small Entity Fee \$</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr><tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet.</td><td></td></tr><tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td></td></tr><tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr><tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr><tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td><td></td></tr><tr><td>116</td><td>390</td><td>216</td><td>195</td><td>Extension for reply within second month</td><td></td></tr><tr><td>117</td><td>890</td><td>217</td><td>445</td><td>Extension for reply within third month</td><td></td></tr><tr><td>118</td><td>1,390</td><td>218</td><td>695</td><td>Extension for reply within fourth month</td><td></td></tr><tr><td>128</td><td>1,890</td><td>228</td><td>945</td><td>Extension for reply within fifth month</td><td></td></tr><tr><td>119</td><td>310</td><td>219</td><td>155</td><td>Notice of Appeal</td><td></td></tr><tr><td>120</td><td>310</td><td>220</td><td>155</td><td>Filing a brief in support of an appeal</td><td></td></tr><tr><td>121</td><td>270</td><td>221</td><td>135</td><td>Request for oral hearing</td><td></td></tr><tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr><tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive - unavoidable</td><td></td></tr><tr><td>141</td><td>1,210</td><td>241</td><td>605</td><td>Petition to revive - unintentional</td><td></td></tr><tr><td>142</td><td>1,210</td><td>242</td><td>605</td><td>Utility issue fee (or reissue)</td><td></td></tr><tr><td>143</td><td>430</td><td>243</td><td>215</td><td>Design issue fee</td><td></td></tr><tr><td>144</td><td>580</td><td>244</td><td>290</td><td>Plant issue fee</td><td></td></tr><tr><td>122</td><td>130</td><td>122</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr><tr><td>123</td><td>50</td><td>123</td><td>50</td><td>Petitions related to provisional applications</td><td></td></tr><tr><td>126</td><td>180</td><td>126</td><td>180</td><td>Submission of information Disclosure Stmt</td><td></td></tr><tr><td>581</td><td>40</td><td>581</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr><tr><td>146</td><td>690</td><td>246</td><td>345</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr><tr><td>149</td><td>690</td><td>249</td><td>345</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr><tr><td colspan="4">Other fee (specify) Surcharge as set forth in 37 CFR 1.16(e)</td><td></td><td>130.00</td></tr><tr><td colspan="4">Other fee (specify)</td><td></td><td></td></tr><tr><td colspan="4">*Reduced by Basic Filing Fee Paid</td><td>SUBTOTAL (3)</td><td>(\$) 130.00</td></tr></tbody></table>				Large Entity Fee Code	Large Entity Fee \$	Small Entity Fee Code	Small Entity Fee \$	Fee Description	Fee Paid	105	130	205	65	Surcharge - late filing fee or oath		127	50	227	25	Surcharge - late provisional filing fee or cover sheet.		139	130	139	130	Non-English specification		112	920*	112	920*	Requesting publication of SIR prior to Examiner action		113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		115	110	215	55	Extension for reply within first month		116	390	216	195	Extension for reply within second month		117	890	217	445	Extension for reply within third month		118	1,390	218	695	Extension for reply within fourth month		128	1,890	228	945	Extension for reply within fifth month		119	310	219	155	Notice of Appeal		120	310	220	155	Filing a brief in support of an appeal		121	270	221	135	Request for oral hearing		138	1,510	138	1,510	Petition to institute a public use proceeding		140	110	240	55	Petition to revive - unavoidable		141	1,210	241	605	Petition to revive - unintentional		142	1,210	242	605	Utility issue fee (or reissue)		143	430	243	215	Design issue fee		144	580	244	290	Plant issue fee		122	130	122	130	Petitions to the Commissioner		123	50	123	50	Petitions related to provisional applications		126	180	126	180	Submission of information Disclosure Stmt		581	40	581	40	Recording each patent assignment per property (times number of properties)		146	690	246	345	Filing a submission after final rejection (37 CFR § 1.129(a))		149	690	249	345	For each additional invention to be examined (37 CFR § 1.129(b))		Other fee (specify) Surcharge as set forth in 37 CFR 1.16(e)					130.00	Other fee (specify)						*Reduced by Basic Filing Fee Paid				SUBTOTAL (3)	(\$) 130.00
Large Entity Fee Code	Large Entity Fee \$	Small Entity Fee Code	Small Entity Fee \$	Fee Description	Fee Paid																																																																																																																																																																														
105	130	205	65	Surcharge - late filing fee or oath																																																																																																																																																																															
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.																																																																																																																																																																															
139	130	139	130	Non-English specification																																																																																																																																																																															
112	920*	112	920*	Requesting publication of SIR prior to Examiner action																																																																																																																																																																															
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action																																																																																																																																																																															
115	110	215	55	Extension for reply within first month																																																																																																																																																																															
116	390	216	195	Extension for reply within second month																																																																																																																																																																															
117	890	217	445	Extension for reply within third month																																																																																																																																																																															
118	1,390	218	695	Extension for reply within fourth month																																																																																																																																																																															
128	1,890	228	945	Extension for reply within fifth month																																																																																																																																																																															
119	310	219	155	Notice of Appeal																																																																																																																																																																															
120	310	220	155	Filing a brief in support of an appeal																																																																																																																																																																															
121	270	221	135	Request for oral hearing																																																																																																																																																																															
138	1,510	138	1,510	Petition to institute a public use proceeding																																																																																																																																																																															
140	110	240	55	Petition to revive - unavoidable																																																																																																																																																																															
141	1,210	241	605	Petition to revive - unintentional																																																																																																																																																																															
142	1,210	242	605	Utility issue fee (or reissue)																																																																																																																																																																															
143	430	243	215	Design issue fee																																																																																																																																																																															
144	580	244	290	Plant issue fee																																																																																																																																																																															
122	130	122	130	Petitions to the Commissioner																																																																																																																																																																															
123	50	123	50	Petitions related to provisional applications																																																																																																																																																																															
126	180	126	180	Submission of information Disclosure Stmt																																																																																																																																																																															
581	40	581	40	Recording each patent assignment per property (times number of properties)																																																																																																																																																																															
146	690	246	345	Filing a submission after final rejection (37 CFR § 1.129(a))																																																																																																																																																																															
149	690	249	345	For each additional invention to be examined (37 CFR § 1.129(b))																																																																																																																																																																															
Other fee (specify) Surcharge as set forth in 37 CFR 1.16(e)					130.00																																																																																																																																																																														
Other fee (specify)																																																																																																																																																																																			
*Reduced by Basic Filing Fee Paid				SUBTOTAL (3)	(\$) 130.00																																																																																																																																																																														
2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other																																																																																																																																																																																			
FEE CALCULATION																																																																																																																																																																																			
1. BASIC FILING FEE																																																																																																																																																																																			
<table border="1"><thead><tr><th>Large Entity Fee Code</th><th>Large Entity Fee (\$)</th><th>Small Entity Fee Code</th><th>Small Entity Fee (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>101</td><td>710</td><td>201</td><td>355</td><td>Utility filing fee</td><td>710.00</td></tr><tr><td>106</td><td>320</td><td>206</td><td>160</td><td>Design filing fee</td><td></td></tr><tr><td>107</td><td>490</td><td>207</td><td>245</td><td>Plant filing fee</td><td></td></tr><tr><td>108</td><td>710</td><td>208</td><td>355</td><td>Reissue filing fee</td><td></td></tr><tr><td>114</td><td>150</td><td>214</td><td>75</td><td>Provisional filing fee</td><td></td></tr><tr><td colspan="5">SUBTOTAL (1)</td><td>(\$) 710.00</td></tr></tbody></table>		Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid	101	710	201	355	Utility filing fee	710.00	106	320	206	160	Design filing fee		107	490	207	245	Plant filing fee		108	710	208	355	Reissue filing fee		114	150	214	75	Provisional filing fee		SUBTOTAL (1)					(\$) 710.00																																																																																																																																								
Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid																																																																																																																																																																														
101	710	201	355	Utility filing fee	710.00																																																																																																																																																																														
106	320	206	160	Design filing fee																																																																																																																																																																															
107	490	207	245	Plant filing fee																																																																																																																																																																															
108	710	208	355	Reissue filing fee																																																																																																																																																																															
114	150	214	75	Provisional filing fee																																																																																																																																																																															
SUBTOTAL (1)					(\$) 710.00																																																																																																																																																																														
2. EXTRA CLAIM FEES																																																																																																																																																																																			
<table border="1"><thead><tr><th>Total Claims</th><th>Extra Claims</th><th>Fee from Below</th><th>Fee Paid</th></tr></thead><tbody><tr><td>20</td><td>-20**= 0</td><td>18.00</td><td></td></tr><tr><td>6</td><td>-3**= 3</td><td>80.00</td><td>240.00</td></tr><tr><td colspan="3">Multiple Dependent</td><td></td></tr></tbody></table>		Total Claims	Extra Claims	Fee from Below	Fee Paid	20	-20**= 0	18.00		6	-3**= 3	80.00	240.00	Multiple Dependent																																																																																																																																																																					
Total Claims	Extra Claims	Fee from Below	Fee Paid																																																																																																																																																																																
20	-20**= 0	18.00																																																																																																																																																																																	
6	-3**= 3	80.00	240.00																																																																																																																																																																																
Multiple Dependent																																																																																																																																																																																			
**or number previously paid, if greater; For Reissues, see below																																																																																																																																																																																			
<table border="1"><thead><tr><th>Large Entity Fee Code</th><th>Large Entity Fee (\$)</th><th>Small Entity Fee Code</th><th>Small Entity Fee (\$)</th><th>Fee Description</th></tr></thead><tbody><tr><td>103</td><td>18</td><td>203</td><td>9</td><td>Claims in excess of 20</td></tr><tr><td>102</td><td>80</td><td>202</td><td>40</td><td>Independent claims in excess of 3</td></tr><tr><td>104</td><td>270</td><td>204</td><td>135</td><td>Multiple dependent claim, if not paid</td></tr><tr><td>109</td><td>80</td><td>209</td><td>40</td><td>**Reissue independent claims over original patent</td></tr><tr><td>110</td><td>18</td><td>210</td><td>9</td><td>**Reissue claims in excess of 20 and over original patent</td></tr><tr><td colspan="5">SUBTOTAL (2)</td><td>(\$) 240.00</td></tr></tbody></table>		Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	103	18	203	9	Claims in excess of 20	102	80	202	40	Independent claims in excess of 3	104	270	204	135	Multiple dependent claim, if not paid	109	80	209	40	**Reissue independent claims over original patent	110	18	210	9	**Reissue claims in excess of 20 and over original patent	SUBTOTAL (2)					(\$) 240.00																																																																																																																																														
Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description																																																																																																																																																																															
103	18	203	9	Claims in excess of 20																																																																																																																																																																															
102	80	202	40	Independent claims in excess of 3																																																																																																																																																																															
104	270	204	135	Multiple dependent claim, if not paid																																																																																																																																																																															
109	80	209	40	**Reissue independent claims over original patent																																																																																																																																																																															
110	18	210	9	**Reissue claims in excess of 20 and over original patent																																																																																																																																																																															
SUBTOTAL (2)					(\$) 240.00																																																																																																																																																																														
SUBMITTED BY		Complete (if applicable)																																																																																																																																																																																	
Name (Print/Type) Christopher J. Palermo		Registration No. (Attorney/Agent) 42,056		Telephone (408) 414-1080																																																																																																																																																																															
Signature				Date April 5, 2001																																																																																																																																																																															

WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.